

Managed Portfolio Service – Target Market and Fair Value Information

Active & Passive portfolios

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Brewin
Dolphin

What is the RBC Brewin Dolphin Managed Portfolio Service (MPS)?

The RBC Brewin Dolphin Managed Portfolio Service (MPS) is an investment management service comprised of seven investment portfolios that differ in their investment objectives and risk profiles.

This is a service which is only available to FCA regulated Financial Advisers to select for their retail clients, where the account and portfolio are held within a Platform.

What type of investor is this service suitable for?

The direct contractual relationship is between RBC Brewin Dolphin and the FCA regulated Financial Adviser, who is treated, for the purposes of the Platform relationship, as a per se Professional Client, and with the Platform. The service only invests in assets that are suitable for Retail Clients.

Both the FCA regulated Financial Advisers and the Platform target their services to Retail Clients.

What is the Target Market for this service?

The Target Market

Retail clients who:

- (i) Are happy to accept some degree of investment risk;
- (ii) Have the capacity to absorb a loss and;
- (iii) Have an FCA regulated Financial Adviser relationship who is responsible for assessing suitability and can help their clients to understand the risk / reward profile and features of the service, advise on risks and time horizon.

Seven different investment portfolios are available, for both active and passive, that differ in their investment objectives and risk profiles. The service is only available via platforms.

Negative Target Market:

If there is no FCA regulated Financial Adviser attached or the FCA regulated Financial Adviser no longer provides advice or acts for the client, then the service would not be fit for the client target market as there is no ability to assess suitability.

Retail Client Support

Where necessary, the Financial Adviser or Platform as distributors of this service should ensure that all clients receive the appropriate support to ensure this service helps them to achieve their financial objectives and fully understand the key features and the associated risks. Where the client is in vulnerable circumstances, the Financial Adviser or Platform should assess that this service is able to meet the needs of the client.

How does MPS meet your client's objectives and needs?

Time Horizon

The recommended holding period is medium and long term.

Maturity date

This is a Managed Portfolio Service and has no fixed maturity date.

Preservation of capital

This service does not have any capital guarantees.

Growth

Our Managed Portfolio Services offer the opportunity for capital growth.

Income

Our Managed Portfolio Service may be used to generate income via the Platform.

How do your clients invest in MPS?

Non-Advised

This service is not available on a Non-Advised basis.

Execution Only

This service is not available on an Execution Only basis.

Advised

This service is available on an Advised basis.

This service is only available via approved FCA regulated financial advisers using approved platforms

Fair Value Information

Overall price

The RBC Brewin Dolphin price for the Managed Portfolio Service is set out as part of the Financial Advisory or Platform agreement related to the service.

We recognise that individual Financial Advisers may have a different charging structure with their retail clients in line with the specific services/benefits provided, and this may vary and need not be disclosed to RBC Brewin Dolphin. Financial Advisers are thus expected to further undertake their own assessments to ensure the overall price, including platform fees, represent fair value to the underlying retail client.

Benefits of service to Target Market

[MPS Active brochure](#)

[MPS Passive brochure](#)

Fair Value confirmation statement

RBC Brewin Dolphin has undertaken a fair value assessment of MPS in line with internally defined criteria and the regulatory expectations and considers that service overall provides fair value.