



INDIVIDUAL SAVINGS ACCOUNT STOCKS & SHARES SUBSCRIPTION FORM

Mr Mrs Miss Ms Other *If Other, please state:*

Surname

First Name(s)

Permanent Residential Address

House Name

House Number

Street

City

Postcode

County

Subscribing to your ISA

I wish to subscribe to my Stocks and Shares ISA

for Tax Year /

and for each subsequent tax year until I advise RBC Brewin Dolphin otherwise (i.e., a **'continuous'** application);

This does not oblige you to subscribe in every subsequent tax year. However, if you chose not to subscribe in a particular tax year you should notify us of this and we will require you to complete a new ISA Subscription Form for the next tax year in which you choose to subscribe again.

Date of Birth

Do you have a National Insurance Number? Yes No If Yes, please provide the details below:

National Insurance Number

You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs (HMRC), a letter from the Department of Work and Pensions (DWP), or pension order book.

I wish to subscribe the sum of

£

By cheque enclosed payable to **RBC Brewin Dolphin**

OR

Please raise the above sum from my portfolio

(Raising money from an existing portfolio may incur a tax charge.)

Declaration and Authority

I declare that:

- 1) I am 18 years of age or over and consent to the Order Execution Policy;
- 2) I have not and will not subscribe more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year;
- 3) I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being duties performed in the UK, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform RBC Brewin Dolphin if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- 4) The subscription which accompanies this application is beneficially my own and is delivered free of all liens, charges, encumbrances and third party interests of any kind;
- 5) I have received RBC Brewin Dolphin's ISA documentation which includes the standard terms and conditions governing the ISA services to be provided to me (as set out in the Retail Client Terms and Conditions (together with the applicable Rate Card)) and I agree to them;
- 6) The information that I have given on this form is complete and correct to the best of my knowledge, and I will inform RBC Brewin Dolphin without delay of any change in my circumstances affecting any of the said information; and
- 7) I will be the beneficial owner of the shares and units held in my ISA.

I authorise RBC Brewin Dolphin:

- 1) to hold my cash subscription, ISA investments, interest, dividends and any other rights to proceeds in respect of those investments and any other cash;
- 2) to make on my behalf any claims to relief from tax in respect of ISA investments; and
- 3) on my written request, to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

You should read carefully the above declaration and authority before you sign this form. If there is anything you do not understand, you should request further information. By signing below, you confirm both the declaration and authority.

Signed

Date

Use of Personal Information

We will treat all personal information obtained from you in accordance with applicable legislation governing the use of such information, including the General Data Protection Regulation (Regulation (EU) 2016/679) (as amended). For further information about the ways in which we may use your personal information, please refer to our Retail Client Terms and Conditions.

FOR RBC BREWIN DOLPHIN USE ONLY

Client Reference

Account Number

ISA Reference

Client Type

Subfund

Service Category

Master Client

PRC