



BREWIN
DOLPHIN

Brewin Portfolio Service

Bare Trust

Account Opening Form

SECTION 1: TRUST DETAILS

A Bare Trust is created when an individual (the settlor) makes a gift to a minor under the age of 18 (the beneficiary) and the trustee(s) oversees the management of the trust. This application form lets you apply for a Brewin Portfolio Service Bare Trust. You can use it to apply for a UK Bare Trust only.

At the end of the application form you'll find a section titled "Confirmation of Bare Trust Status". **Please complete this also and return with the application form.**

PLEASE NOTE - We have responsibilities under UK anti-money laundering legislation and the Proceeds of Crime Act 2002 to confirm the identity and permanent address of our Clients. When you are resident in the United Kingdom, we will undertake an electronic anti-money laundering check of the personal data you have provided. The check will be undertaken via a reputable referencing agency, which will retain a record of this check. Where an electronic check of personal data is not appropriate or suitable you will be asked to provide documents to verify your personal details. These will generally be a certified copy of your passport and driving licence or other acceptable documents, details of which can be supplied on request.

We also have responsibilities under these laws to confirm the identity and permanent address of any third party or beneficial owner connected to the trust. You confirm that where requested this information will be provided promptly and will be accurate. We reserve the right not to make payments if they are to be made to third parties or to bank accounts not in your name(s) or the name of the trust, or held in a jurisdiction outside of the UK.

Requirements for electronic check - UK resident - Over 18 - Full name - Date of birth - Current residential address where we are unable to verify your identity electronically, we will contact you upon receipt of this completed Account Opening Form to advise the additional documents we require to comply with the UK anti-money laundering legislation and the Proceeds of Crime Act 2002.

Trust Name

SECTION 2: INVESTMENT STRATEGY

Please select the Portfolio you would like your funds invested in (please refer to the Brewin Portfolio Service Brochure for full details of each portfolio strategy):

Portfolio 3 - Very Low Risk

Portfolio 4 - Low Risk

Portfolio 5 - Low to Moderate Risk

Portfolio 6 - Moderate Risk

Portfolio 7 - Moderate to High Risk

Portfolio 8 - High Risk

SECTION 3: BENEFICIARY DETAILS

Title

First Name(s)

Surname

Date of Birth

Gender Male Female

National Insurance Number (UK)

Permanent Residential Address

Postcode

Country

Nationality/Citizenship

2nd Nationality/Citizenship

Place of Birth

Country of Birth

Country of Domicile

SECTION 4: TRUSTEE DETAILS

TRUSTEE 1

Please complete the following section for TRUSTEE 1

Title

First Name(s)

Surname

Gender Male Female

National Insurance Number (UK)

Date of Birth

Place of Birth

Country of Birth

Permanent Residential Address

Country

Post Code

Home Telephone number

Work Telephone number

Mobile

E-mail

Nationality/Citizenship

2nd Nationality/Citizenship

Country of Domicile

Please provide a list of each county in which the trustee(s) is/are resident for tax purposes, along with the trustees Tax Identification number for that country. If the trustee's country of residence does not issue tax identification numbers, please state 'Not Applicable' (Note: US Citizens are considered to be US Tax Residents regardless of where they currently live and should provide a Tax Identification number).

Country of Residency for Tax Purposes

Tax Identification Number

If a trustee is resident in more than two countries for tax purposes, please contact the BPS Customer Services Team.

TRUSTEE 2

Please complete the following section for TRUSTEE 2

Title

First Name(s)

Surname

Gender Male Female

National Insurance Number (UK)

Date of Birth

Place of Birth

Country of Birth

Permanent Residential Address

Country

Post Code

Home Telephone number

Work Telephone number

Mobile

E-mail

Nationality/Citizenship

2nd Nationality/Citizenship

Country of Domicile

Please provide a list of each county in which the trustee(s) is/are resident for tax purposes, along with the trustees Tax Identification number for that country. If the trustee's country of residence does not issue tax identification numbers, please state 'Not Applicable' (Note: US Citizens are considered to be US Tax Residents regardless of where they currently live and should provide a Tax Identification number).

Country of Residency for Tax Purposes

Tax Identification Number

If a trustee is resident in more than two countries for tax purposes, please contact the BPS Customer Services Team.

SETTLOR DETAILS

Please complete the following section for the settlor. The settlor is the person who is gifting the money e.g the grandparent. The settlor is not automatically a trustee.

Please tick if the settlor is also a named trustee as above. Trustee 1 Trustee 2

If you are a named trustee there is no need to complete the following section.

Title

First Name(s)

Surname

Gender Male Female

National Insurance Number (UK)

Date of Birth

Place of Birth

Country of Birth

Permanent Residential Address

Country

Post Code

Home Telephone number

Work Telephone number

Mobile

E-mail

If you are an existing account holder, please provide account number(s).

SECTION 5: ACCOUNT FUNDING

Funds can only be received from the settlor.

How much do you wish to gift to the bare trust?

Amount £

How would you like to send the funds?

Bank transfer

Cheque

Transfer from existing account

If you wish to invest monthly, please complete the Direct Debit mandate at the end of the application form.

Monthly Amount

£

Start Date (DD/MM/YYYY)

Source of Funds

e.g. Salary, Inheritance, Gift, Sale of Main Residence, Pension Income, Other

Source of Wealth

e.g. Salary, Inheritance, Gift, Sale of Main Residence, Pension Income, Other

5.1 Information for Professional Advisers and other parties

If you would like us to send copies of the Trust's account information to your professional advisers or other third parties, please provide the details below and indicate which documents you would like us to send. We will not accept instructions from professional advisers or other third parties unless their details have been added to the Authorised Signatory section in this form.

5.2 Do you want us to provide information on the Trust's portfolio to third parties?

Yes

No

If copies of the Trust's account information should be sent to a professional advisers or other third parties, please provide the details below and indicate which documents should be sent.

Contact Name

Relationship

Name of Firm

Address Line 1

Address Line 2

Address Line 3

City

County

Country

Post Code

E-mail

Telephone number

General Correspondence

Statements

Year End Tax Report*

* Please note, if no other party selects to receive this, it will be sent to Trustee 1.

If you would like us to send copies of the account information to additional professional advisers or other third parties, please provide the details on a separate sheet.

SECTION 6: BANK DETAILS

6.1 Please provide us with details of the bank account to be used for income and withdrawals. This must be in the name of one of the trustees or a designated bank account in the name of the trust. Withdrawal must be for the benefit of the beneficiary (e.g educational costs).

Bank Account/Building Society Details

Account Name

Name of Bank
or Building Society

Branch

Building Society Roll No.

Bank Account Number

Sort Code

6.2 Income Instructions

Please indicate below income instructions

EITHER a) Reinvest income

OR b) Pay out 100% of income

Frequency of payment	Monthly	Quarterly	Annually
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OR c) Pay out fixed amount Amount required £

Frequency of payment	Monthly	Quarterly	Annually
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PLEASE NOTE – Taxes including Capital Gains Tax are potentially payable on income and/or gains made from the holding or sale of investments. We are not tax experts and you should consult your personal tax adviser if you have any questions in this respect as we do not accept liability for any adverse tax liability arising from the use of our services.

6.3 Data Protection

The information given in this form will be handled in accordance with the provisions of all applicable data protection laws and regulations from time to time in force ("Data Protection Laws"), relating to data protection, privacy and the processing of personal data, including the General Data Protection Regulation (Regulation (EU) 2016/679) ("GDPR") which governs how we may use your personal information and provides you with certain rights in respect of your information. As a data controller, Brewin Dolphin is registered with the Information Commissioners Office ("ICO") and Brewin Dolphin has the obligation to notify the ICO the purposes for which it processes personal information. You can access Brewin Dolphin's register entry via the ICO's website: www.ico.org.uk. You can also refer to our Retail Client Terms & Conditions or read our privacy notice which is available at www.brewin.co.uk/privacynotice.

6.4 Declaration by Trustee(s)

1. This application is to open a BPS Bare Trust for the beneficiary named in Section 3.
2. The information provided in this form is true to the best of my knowledge and I will notify the BPS Customer Service Team promptly in writing of any changes to the information provided in this form and of any other relevant information.
3. I understand that the BPS portfolios are invested in collective funds which invest in equities, the value of which can fall as well as rise.
4. I have received copies of the BPS Brochure, "Our Agreement with You" booklet and our Fees & Charges booklet. I shall seek clarification promptly if there is anything that I do not understand.
5. I acknowledge that under the BPS Terms I am authorising Brewin Portfolio Service as my agent to appoint the External Service Provider, currently SEI Investments (Europe) Limited, to provide custody services and to hold my money and investments in relation to the Brewin Portfolio Service.
6. I undertake to advise Brewin Portfolio Service promptly of any change in circumstances
7. All payments received, and withdrawn, to/from the BPS Bare Trust belong to the beneficiary and must be used for the benefit of the beneficiary, for example to help pay school fees.
8. I am aware that in certain circumstances Brewin Dolphin will be obliged to share this information with UK tax authorities, who may pass it on to other tax authorities.
9. I confirm that where I have provided Brewin Dolphin with information classed as "special category personal data" under Data Protection Laws on behalf of a beneficiary, that I have the consent of the beneficiary to share this information with Brewin Dolphin.
10. I confirm that I understand that I have not and will not receive advice as to the suitability of the BPS Bare Trust from Brewin Dolphin.
11. I confirm that I understand the financial, legal and tax implications associated with creating this Bare Trust. Brewin Dolphin shall not be responsible if the trust fails in its purposes or is not suitable for my circumstances.
12. I understand the beneficiary has the right to all of the capital and income of the Trust at any time after he/she attains age 18 and they can spend the money as they seem fit.

I AUTHORISE BREWIN PORTFOLIO SERVICE:

- To use the information contained in this Account Opening Form to construct a Bare Trust on behalf of the beneficiary in accordance with the BPS Terms which I have read and understood.
- To invest the monies that the settlor places in BPS in the specific portfolio of funds chosen by me and detailed in the BPS Brochure.
- To rebalance the portfolio on the basis set out in the BPS Terms
- To hold, or appoint a suitable third party to hold, the account funds, investments, interest, dividends and any other rights or proceeds in respect of those investments and cash.

Trustee 1

Signed

Date

Print Name

Trustee 2

Signed

Date

Print Name

Where did you hear about the Brewin Portfolio Service?

Internet Search Press Referral/Word of Mouth Employer Other

CONFIRMATION OF BARE TRUST STATUS

This form establishes a BPS Bare Trust. However we do not know whether it will be suitable for your circumstances and you should seek advice from a suitably qualified professional adviser before creating the trust. We do not accept any responsibility if the trust fails in its purpose. This form should be completed and returned to us, please retain a copy for your own purposes.

SETTLOR DETAILS

Name

Permanent Residential Address

Postcode

Amount Gifted to Bare Trust £

Trust Name

Today's Date

BENEFICIARY DETAILS

Name

Permanent Residential Address

Postcode

Date of Birth

As the Absolute Beneficiary

I/we confirm that this has been the case since the opening of the account. This trust is to be set up under English law.

TRUSTEE 1

Name

Permanent Residential Address

Postcode

Date of Birth

TRUSTEE 2

Name

Permanent Residential Address

Postcode

Date of Birth

I/we confirm that this has been the case since the opening of the account.

Signature of Settlor

Instruction to your bank or building society to pay by Direct Debit

Please fill in the whole form including official use box using a ball point pen and send it to:

SEI Inv (EU) Ltd Re Brewin Dolphin
Sixth Floor
Atria One
144 Morrison Street
Edinburgh
EH3 8BR

Service user number

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Name(s) of account holder(s)

Bank/building society account number

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Branch sort code

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Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

FOR SEI Inv (EU) Ltd Re BREWIN DOLPHIN OFFICIAL USE ONLY
This is not part of the instruction to your bank or building society.

Instruction to your bank or building society

Please pay SEI Inv (EU) Ltd Re Brewin Dolphin Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with SEI Inv (EU) Ltd Re Brewin Dolphin and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date


Reference

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Banks and building societies may not accept Direct Debit Instructions for some types of account

DDI

This guarantee should be detached and retained by the payer.



The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, SEI Inv (EU) Ltd Re Brewin Dolphin will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request SEI Inv (EU) Ltd Re Brewin Dolphin to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit by SEI Inv (EU) Ltd Re Brewin Dolphin or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
 - If you receive a refund you are not entitled to, you must pay it back when SEI Inv (EU) Ltd Re Brewin Dolphin asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



Your communication preferences

We would like to keep you updated with news and views from Brewin Dolphin and from time to time we may invite you to join us at events. Using the form below, please select which type of communications you're happy to receive from us.

You're in control...

Trustee 1

I would like to receive:

Events

Invitations to Brewin Dolphin's events, conferences, seminars and networking opportunities.

I agree to receive these invitations by Post Email Telephone

Newsletters and Insights

Brewin Dolphin's email newsletters, which contain news and viewpoints. Email

Printed Publications

Brewin Dolphin's printed publications which cover a wide range of thought provoking topics. Post

Products and Services

Information about Brewin Dolphin's products and services.

I agree to receive these details by Post Email Telephone

I do not wish to receive any marketing communication from the Brewin Dolphin Group

Please complete in block capitals

Title	Address Line 1
First Name	Address Line 2
Last Name	Address Line 3
Contact No.	City
Email Address	Postcode
	County
	Country

Trustee 2

I would like to receive:

Events

Invitations to Brewin Dolphin's events, conferences, seminars and networking opportunities.

I agree to receive these invitations by Post Email Telephone

Newsletters and Insights

Brewin Dolphin's email newsletters, which contain news and viewpoints. Email

Printed Publications

Brewin Dolphin's printed publications which cover a wide range of thought provoking topics. Post

Products and Services

Information about Brewin Dolphin's products and services.

I agree to receive these details by Post Email Telephone

I do not wish to receive any marketing communication from the Brewin Dolphin Group

Please tick box if the postal address is the same as Trustee 1

If address is different please complete in block capitals

Title	Address Line 1
First Name	Address Line 2
Last Name	Address Line 3
Contact No.	City
Email Address	Postcode
	County
	Country

Brewin Dolphin will use the data that it holds about you for the purposes of providing information about products and services we offer and marketing communications.

We respect your privacy and take protecting it very seriously. We will only use your data in accordance with your preferences and as set out in our Privacy Notice, which can be found on our website www.brewin.co.uk. In keeping with our existing practice, we will never sell your personal data to any third parties.

You can change your preferences at any time by contacting your usual contact at Brewin Dolphin by phone, post or email. Please allow 14 days from receipt of your information for any changes to be reflected across our systems.

Brewin Dolphin Limited is a member of the London Stock Exchange. Brewin Dolphin Limited is authorised and regulated by the Financial Conduct Authority (Financial Services Register reference number: 124444) and regulated in Jersey by the Jersey Financial Services Commission. Registered Office: 12 Smithfield Street, London, EC1A 9BD. Registered in England and Wales – company number: 2135876. VAT Number: GB 690 8994 69. Brewin Dolphin Limited GIIN: 6J5L79. 00000.LE.826.

NOTES

Blank area for notes.

Contact us

Monday – Friday 08:00-18:00 and Saturday 08:00-12:00

T: +44 (0) 333 207 9003

E: customer.services@brewin.co.uk

Brewin Portfolio Service

Sixth Floor, Atria One

144 Morrison Street

Edinburgh

EH3 8BR

W brewin.co.uk/bps

Brewin Dolphin Limited is a member of the London Stock Exchange, and is authorised and regulated by the Financial Conduct Authority (Financial Services Register reference number: 124444).
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